



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shao Xiang Lu, et al.

SERIAL NO: 10/622,689

GAU: 1712

FILED: July 21, 2003

EXAMINER: Kuo Liang Peng

FOR: SELF-EMULSIFYING COPOLYMER

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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### LIST OF RELATED CASES

| <u>Docket Number</u> | <u>Serial or Patent Number</u> | <u>Filing or Issue Date</u> | <u>Patent Appl. Publication No.</u> | <u>Inventor/ Applicant</u> |
|----------------------|--------------------------------|-----------------------------|-------------------------------------|----------------------------|
| 237687US0*           | 10/622,689                     | 07/21/03                    | US2005-0020769 A1                   | LU, et al.                 |
| 263326US0            | 11/009,088                     | 12/13/04                    | US2005-0158260 A1                   | FERRARI, et al.            |
| #6388-0437-0         | 09/395,613                     | 09/14/99                    |                                     | FERRARI                    |
| 272537US0PCT         | 10/538,920                     | 06/13/05                    |                                     | BLIN, et al.               |
| 272535US0PCT         | 10/538,924                     | 06/13/05                    |                                     | TOURNILHAC, et al.         |
| 275576US0            | 11/193,444                     | 08/01/05                    |                                     | CHEN, et al.               |
| 264558US0PCT         | 10/517,390                     | 12/10/04                    |                                     | FERRARI, et al.            |
| 263814US0CIP         | 11/024,471                     | 12/30/04                    |                                     | BLIN, et al.               |
| 272887US0            | 11/217,293                     | 09/02/05                    |                                     | BUI, et al.                |

\* Present Application; listed for information

# Abandoned Application

NFO/amr/hah

| Form PTO 1449<br>(Modified)   |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |                | ATTY DOCKET NO.<br>237687US0       | SERIAL NO.<br>10/622,689   |           |
|---|----|--|----------------|------------------------------------|--|-----------|
| LIST OF REFERENCES CITED BY APPLICANT                                   |    |  |                | APPLICANT<br>Shao Xiang Lu, et al. |  |           |
|   |    |  |                | FILING DATE<br>July 21, 2003       | GROUP<br>1712  |           |
| U.S. PATENT DOCUMENTS   |    |  |                |                                    |  |           |
| EXAMINER INITIAL  |    | DOCUMENT NUMBER  | TRADEMARK DATE | NAME                               | CLASS  | SUB CLASS |
|   | AA | 2005/0158260 A1  | 07/21/05       | Ferrari, et al.                    |  |           |
|   | AB |  |                |                                    |  |           |
|   | AC |  |                |                                    |  |           |
|   | AD |  |                |                                    |  |           |
|   | AE |  |                |                                    |  |           |
|   | AF |  |                |                                    |  |           |
|   | AG |  |                |                                    |  |           |
|   | AH |  |                |                                    |  |           |
|   | AI |  |                |                                    |  |           |
|   | AJ |  |                |                                    |  |           |
|   | AK |  |                |                                    |  |           |
|   | AL |  |                |                                    |  |           |
|   | AM |  |                |                                    |  |           |
|   | AN |  |                |                                    |  |           |
| FOREIGN PATENT DOCUMENTS  |    |  |                |                                    |  |           |
|   |    | DOCUMENT NUMBER  | DATE           | COUNTRY                            | TRANSLATION<br>YES   | NO        |
|   | AO |  |                |                                    |  |           |
|   | AP |  |                |                                    |  |           |
|   | AQ |  |                |                                    |  |           |
|   | AR |  |                |                                    |  |           |
|   | AS |  |                |                                    |  |           |
|   | AT |  |                |                                    |  |           |
|   | AU |  |                |                                    |  |           |
|   | AV |  |                |                                    |  |           |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) |    |  |                |                                    |  |           |
|   | AW |  |                |                                    |  |           |
|   | AX |  |                |                                    |  |           |
|   | AY |  |                |                                    |  |           |
|   | AZ |  |                |                                    | <input type="checkbox"/> Additional References sheet(s) attached |           |
| Examiner  |    |  |                | Date Considered                    |  |           |

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.